



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Frank Duimovich et al	Examiner:	
Serial No.:	09/825,164	Group Art Unit:	2152
Filed:	April 3, 2001	Docket:	136P17US01
Title:	METHOD AND SYSTEM FOR MANAGING PERFORMANCE OF DATA TRANSFERS FOR A DATA ACCESS SYSTEM		

COMMUNICATION

BOX: MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application" (see enclosed copy), an executed Combined Declaration and Power of Attorney by the named inventors is enclosed. Also enclosed is a Fee Transmittal authorizing payment in the amount of \$420.00 for both the basic filing fee and the surcharge fee due for a small entity to be charged to Deposit Account 500549.

A substitute specification in compliance with 37 CFR 1.52 is enclosed. Also enclosed are substitute drawings in compliance with 37 CFR 1.84.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the below-named attorney at (763) 574-2456.

Respectfully submitted,

FRANK DUIMOVICH ET AL

Date: 8-8-01

By: Michael L. Mau

Michael L. Mau
Reg. No. 30,087
MAU & KRULL, P.A.
1250 Moore Lake Drive East
Suite E
Fridley, MN 55432
Telephone (763) 574-2456

MLM:das



#4
#3
SECTOR
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frank Duimovich et al
Serial No.: 09/825,164
Filed: April 3, 2001
Title: METHOD AND SYSTEM FOR MANAGING PERFORMANCE OF DATA TRANSFERS FOR A DATA ACCESS SYSTEM

Examiner:
Group Art Unit: 2152
Docket: 136P17US01

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Box: MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on August 8, 2001.

By: 
Michael L. Mau


Box: MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Transmittal Sheet containing Certificate of Mailing (in duplicate)
Fee Transmittal for FY 2001 (in duplicate)
Petition for Extension of Time
Communication
Communication Regarding Drawings
Claim to Small Entity Status
Copy of Notice to File Missing Parts of Nonprovisional Application
Combined Declaration and Power of Attorney
Substitute Specification
Substitute Drawings
Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 500549. A duplicate of this sheet is enclosed.

By: 
Michael L. Mau
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frank Duimovich et al Examiner:
Serial No.: 09/825,164 Group Art Unit: 2152
Filed: April 3, 2001 Docket No.: 136P17US01
Title: METHOD AND SYSTEM FOR MANAGING PERFORMANCE OF
DATA TRANSFERS FOR A DATA ACCESS SYSTEM

CLAIM TO SMALL ENTITY STATUS

Assistant Commissioner for Patents
Washington, D.C. 20231


Dear Sir:

This application has been assigned to webHancer Corporation, which claims small entity status. An Assignment of this application is being submitted concurrently.

Respectfully submitted,

FRANK DUIMOVICH ET AL

Date: 8-8-01

By: 
Michael L. Mau, Attorney for Applicant
Reg. No. 30,087
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1250 Moore Lake Drive East
Suite E
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Telephone (763) 574-2456

MLM:das

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL

AUG 13 2001

For FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 475

Complete if Known

Application Number	09/825,164
Filing Date	April 3, 2001
First Named Inventor	Frank Duimovich
Examiner Name	
Group Art Unit	2152
Attorney Docket No.	136P17US01

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

500549

Deposit
Account
Name

Mau & Krull, P.A.

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
11	-20** =		
2	-3** =		

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 120

SUBMITTED BY

Name (Print/Type)

Michael L. Mau

Registration No.
(Attorney/Agent)

30,087

Complete (if applicable)

Telephone

763-574-2456

Signature

Date

8-8-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,164	04/03/2001	Frank Duimovich	136P17US01

CONFIRMATION NO. 2393

FORMALITIES LETTER



OC000000006059587

Michael L. Mau
Mau & Krull, P.A.
Suite E
1250 Moore Lake Drive East
Fridley, MN 55432

Date Mailed: 05/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a

09825164

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355.00 CH
65.00 CH

08/15/2001 H000R1

01 FC:201
02 FC:205

*A copy of this notice **MUST** be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE